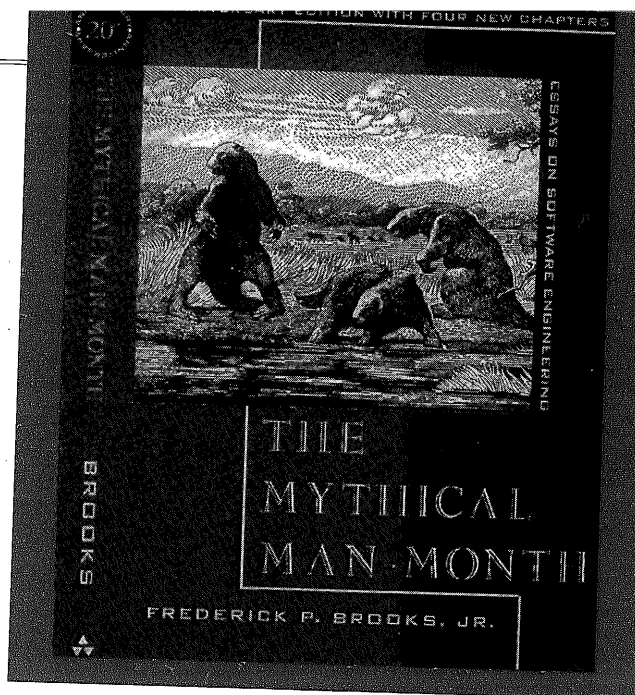




## BOOK EXCERPT



# The Mythical Man-Month

## AFTER 20 YEARS

Frederick P. Brooks, Jr.

### WHY IS THERE A TWENTIETH ANNIVERSARY EDITION?

The plane droned through the night toward LaGuardia. Clouds and darkness veiled all interesting sights. The document I was studying was pedestrian. I was not, however, bored. The stranger sitting next to me was reading *The Mythical Man-Month*, and I was waiting to see if by word or sign he would react. Finally as we taxied toward the gate, I could wait no longer:

"How is that book? Do you recommend it?"

"Hmph! Nothing in it I didn't know already."

I decided not to introduce myself.

Why has *The Mythical Man-Month* persisted? Why is it still seen to be relevant to software practice today? Why does it have a readership outside the software engineering community, generating reviews, citations, and correspondence from lawyers, doctors, psychologists, sociologists, as well as from software people? How can a book written 20 years ago about a software-building experience 30 years ago still be relevant, much less useful?

One explanation sometimes heard is that the software development discipline has not advanced normally or properly. This view is often supported by contrasting computer software development productivity with computer hardware manufacturing productivity, which has multiplied at least a thousandfold over the two decades. As Chapter 16 explains, the anomaly is not that software has been so slow in its progress but rather that computer technology has exploded in a fashion unmatched in human history. By and large this comes from the gradual transition of computer man-

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ufacturing from an assembly industry to a process industry, from labor-intensive to capital-intensive manufacturing. Hardware and software development, in contrast to manufacturing, remain inherently labor-intensive.

A second explanation often advanced is that *The Mythical Man-Month* is only incidentally about software but primarily about how people in teams make things. There is surely some truth in this; in the preface to the 1975 edition I said that managing a software project is more like other management than most programmers initially believe. I still believe that to be true. Human history is a drama in which the stories stay the same, the scripts of those stories change slowly with evolving cultures, and the stage settings change all the time. So it is that we see our twentieth-century selves mirrored in Shakespeare, Homer, and the Bible. So to the extent that *The MM-M* is about people and teams, obsolescence should be slow.

Whatever the reason, readers continue to buy the book, and they continue to send me much-appreciated comments. Nowadays I am often asked, "What do you think was wrong when written? What is now obsolete? What is really new in the software engineering world?" These quite distinct questions are all fair, and I shall address them as best I can. Not in that order, however, but in clusters of topics.

### PARNAS WAS RIGHT AND I WAS WRONG ABOUT INFORMATION HIDING

In Chapter 7 I contrast two approaches to the question of how much each team member should be allowed or encouraged to know about the designs and code of other team members. In the Operating System/360 project, we decided that *all* programmers should see *all* material, i.e., each programmer having a copy of the project workbook, which came to number over 10,000 pages. Harlan Mills has argued persuasively that "programming should be a public process," that exposing all the work to everybody's gaze helps quality control both by peer pressure to do things well and by peers actually spotting flaws and bugs.

This view contrasts sharply with David Parnas's teaching that modules of code should be encapsulated with well-defined interfaces, and that the interior of such a module should be the private property of its programmer, not discernible from outside. Programmers are most effective if shielded from, not exposed to, the

innards of modules not their own.

I dismissed Parnas's concept as a "recipe for disaster" in Chapter 7. Parnas was right, and I was wrong. I am now convinced that information hiding, today often embodied in object-oriented programming, is the only way of raising the level of software design.

One can indeed get disasters with either technique. Mills' technique ensures that programmers can know the detailed semantics of the interfaces they work to by knowing what is on the other side. Hiding those semantics leads to system bugs. On the other hand, Parnas's technique is robust under change and is more appropriate in a design-for-change philosophy. Chapter 16 argues the following:

- ◆ Most past progress in software productivity has come from eliminating non-inherent difficulties such as awkward machine languages and slow batch turnaround.

- ◆ There are not a lot more of these easy pickings.

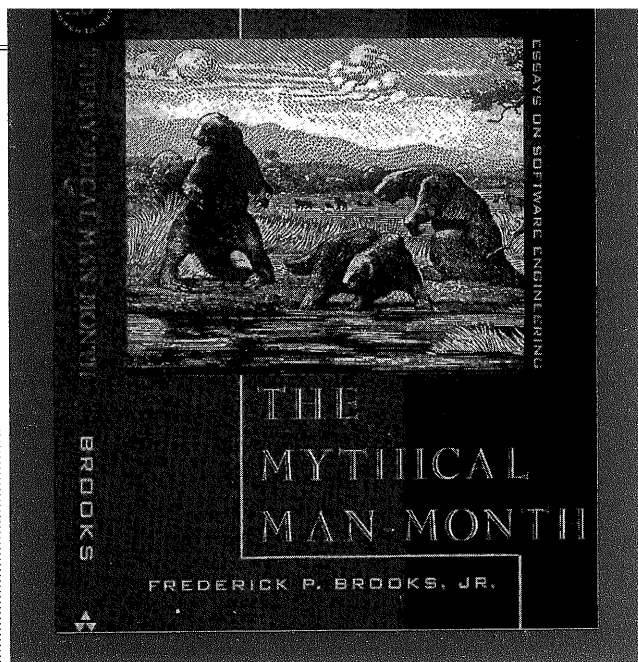
- ◆ Radical progress is

going to have to come from attacking the essential difficulties of fashioning complex conceptual constructs.

The most obvious way to do this recognizes that programs are made up of conceptual chunks much larger than the individual high-level language statement — subroutines, or modules, or classes. If we can limit design and building so that we only do the putting together and parameterization of such chunks from prebuilt collections, we have radically raised the conceptual level, and eliminated the vast amounts of work and the copious opportunities for error that dwell at the individual statement level.

Parnas's information-hiding definition of modules is the first published step in that crucially important research program, and it is an intellectual ancestor of object-oriented programming. He defined a module as a software entity with its own data model and its own set of operations. Its data can only be accessed via one of its proper operations. The second step was a contribution of several thinkers: the upgrading of the Parnas module into an *abstract data type*, from which many objects could be derived. The abstract data type provides a uniform way of thinking about and specifying module interfaces, and an access discipline that is easy to enforce.

The third step, object-oriented programming, introduces the powerful concept of *inheritance*, whereby classes (data types) take as



o come from  
essential diffi-  
culty in un-  
derstanding complex  
structures.  
The obvious way to  
analyze that pro-  
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and the vast  
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all at the indi-  
vidual level.  
Information-hid-  
ing of modules is  
a missed step in  
an important  
program, and it is  
the ancestor of  
an old program-  
ming module  
defined with its  
model and its own  
assumptions. Its data can  
be accessed via one of  
several generations. The  
data was a contribu-  
tion of many thinkers: the  
data of the Parnas  
data, an abstract data  
which many  
could be derived.  
The data type pro-  
gram way of think-  
ing and specifying  
interfaces, and an  
outline that is easy

and step, object-  
programming,  
the powerful con-  
straint, whereby  
a type) take as

defaults specified attributes  
from their ancestors in the  
class hierarchy. Most of what  
we hope to gain from object-  
oriented programming  
derives in fact from the first  
step, module encapsulation,  
plus the idea of prebuilt  
libraries of modules or classes  
*that are designed and tested  
for reuse*. Many people have  
chosen to ignore the fact that  
such modules are not just  
programs, but instead are  
program products in the  
sense discussed in Chapter 1.  
Some people are vainly hop-  
ing for significant module  
reuse without paying the ini-  
tial cost of building product-  
quality modules — general-  
ized, robust, tested, and doc-  
umented. Object-oriented  
programming and reuse are  
discussed in Chapters 16  
and 17.

## PEOPLE ARE EVERYTHING (WELL, ALMOST EVERYTHING)

Some readers have found  
it curious that *The MM-M*  
devotes most of the essays to  
the managerial aspects of  
software engineering, rather  
than the many technical  
issues. This bias was due in  
part to the nature of my role  
on the IBM Operating  
System/360 (now MVS/370).  
More fundamentally, it  
sprang from a conviction  
that the quality of the people  
on a project, and their orga-  
nization and management,  
are much more important

factors in success than are  
the tools they use or the  
technical approaches they  
take.

Subsequent researches  
have supported that convic-  
tion. Boehm's COCOMO  
model finds that the quality  
of the team is by far the  
largest factor in its success,  
indeed four times more  
potent than the next largest  
factor. Most academic  
research on software engi-  
neering has concentrated on  
tool. I admire and covet  
sharp tools. Nevertheless, it  
is encouraging to see ongo-  
ing research efforts on the  
care, growing, and feeding  
of people, and on the  
dynamics of software man-  
agement.

**Peopleware.** A major con-  
tribution during recent years  
has been DeMarco and  
Lister's 1987 book,  
*Peopleware: Productive Projects  
and Teams*. Its underlying  
thesis is that "The major  
problems of our work are  
not so much *technological* as  
*sociological* in nature." It  
abounds with gems such as,  
"The manager's function is  
not to make people work, it  
is to make it possible for  
people to work." It deals  
with such mundane topics as  
space, furniture, team meals  
together. DeMarco and  
Lister provide real data from  
their Coding War Games  
that show stunning correla-  
tion between performances  
of programmers from the  
same organization, and  
between workplace charac-  
teristics and both productiv-  
ity and defect levels.

*The top performers' space is  
quieter, more private, better  
protected against interruption,*

*and there is more of it.... Does  
it really matter to you ...  
whether quiet, space, and pri-  
vacy help your current people to  
do better work or [alternative-  
ly] help you to attract and keep  
better people?*

I heartily recommend the  
book to all my readers.

**Moving projects.** DeMarco  
and Lister give considerable  
attention to team *fusion*, an  
intangible but vital property.  
I think it is management's  
overlooking fusion that  
accounts for the readiness I  
have observed in multiloca-  
tion companies to move a  
project from one laboratory  
to another.

My experience and  
observation are limited to  
perhaps a half-dozen moves.  
I have never seen a success-  
ful one. One can move *mis-  
sions* successfully. But in  
every case of attempts to  
move projects, the new team  
in fact started over, in spite  
of having good documenta-  
tion, some well-advanced  
designs, and some of the  
people from the sending  
team. I think it is the break-  
ing of fusion of the old team  
that aborts the embryonic  
product, and brings about  
restart.

## THE POWER OF GIVING UP POWER

If one believes, as I have  
argued at many places in this  
book, that creativity comes  
from individuals and not

from structures or processes,  
then a central question fac-  
ing the software manager is  
how to design structure and  
process so as to enhance,  
rather than inhibit, creativ-  
ity and initiative. Fortunate-  
ly, this problem is not pecu-  
liar to software organiza-  
tions, and great thinkers  
have worked on it. E.F.  
Schumacher, in his classic,  
*Small is Beautiful: Economics  
as if People Mattered*, propos-  
es a theory of organizing  
enterprises to maximize the  
creativity and joy of the  
workers. For his first princi-  
ple he chooses the  
"Principle of Subsidiary  
Function" from the  
Encyclical *Quadragesimo  
Anno* of Pope Leo XIII:

*It is an injustice and at the  
same time a grave evil and dis-  
turbance of right order to  
assign to a greater and higher  
association what lesser and sub-  
ordinate organizations can do.  
For every social activity ought  
of its very nature to furnish  
help to the members of the body  
social and never destroy and  
absorb them.... Those in com-  
mand should be sure that the  
more perfectly a graduated  
order is preserved among the  
various associations, in observ-  
ing the principle of subsidiary  
function, the stronger will be  
the social authority and effec-  
tiveness and the happier and  
more prosperous the condition  
of the State.*

Schumacher goes on to  
interpret:

*The Principle of Subsidiary  
Function teaches us that the  
centre will gain in authority  
and effectiveness if the freedom*



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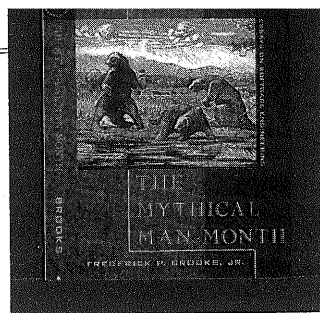
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*and responsibility of the lower formations are carefully preserved, with the result that the organization as a whole will be "happier and more prosperous."*

*How can such a structure be achieved? ... The large organization will consist of many semi-autonomous units, which we may call quasi-firms. Each of them will have a large amount of freedom, to give the greatest possible chance to creativity and entrepreneurship.... Each quasi-firm must have both a profit and loss account, and a balance sheet.*

Among the most exciting developments in software engineering are the early stages of putting such organizational ideas into practice. First, the microcomputer revolution created a new software industry of hundreds of start-ups. These firms, all of them starting small, and marked by enthusiasm, freedom, and creativity. The industry is changing now, as many small companies continue to be acquired by larger ones. It remains to be seen if the larger acquirers will understand the importance of preserving the creativity of smallness.

More remarkably, high management in some large firms have undertaken to delegate power down to individual software project teams, making them approach Schumacher's quasi-firms in structure and responsibility. They are surprised and delighted at the results.

Jim McCarthy of Microsoft described to me his experience at emancipating

his teams:

*Each feature team (30-40 people) owns its feature set, its schedule, and even its process of how to define, build, ship. The team is made up for four or five specialties, including building, testing, and writing. The team settles squabbles; the bosses don't. I can't emphasize enough the importance of empowerment, of the team being accountable to itself for its success.*

Earl Wheeler, retired head of IBM's software business, told me his experience in undertaking the downward delegation of power long centralized in IBM's division managements:

*The key thrust [of recent years] was delegating power down. It was like magic! Improved quality, productivity, morale. We have small teams, with no central control. The teams own the process, but they have to have one. They have many different processes. They own the schedule, but they feel the pressure of the market. This pressure causes them to reach for tools on their own.*

Conversations with individual team members, of course, show both an appreciation of the power and freedom that is delegated, and a somewhat more conservative estimate of how much control really is relinquished. Nevertheless, the delegation achieved is clearly a step in the right direction. It yields exactly the benefits Leo XIII predicted: the center gains in real authority by delegating power, and the organization as a whole is happier and more prosperous. ◆